



ARYAMAN

FINANCIAL SERVICES LTD

Aryaman Financial Services Limited (AFSL) under the SEBI Registration No. INM000011344 carries Merchant Banking activity. This the data on complaints received against us or against issues dealt by us and redressal thereof is prepared by AFSL with due reference and in compliance to SEBI Circular SEBI/HO/CFD/DCR2/P/CIR/2021/0661 dated November 23, 2021.

Data for month ending - December 2021

| Sr. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|---------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | Nil |
| 3 | Stock Exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | Nil |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil | Nil | Nil |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)

| Sr. No. | Months | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|---------|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | August 2021 | Nil | Nil | Nil | Nil |
| 2 | September 2021 | Nil | Nil | Nil | Nil |
| 3 | October 2021 | Nil | Nil | Nil | Nil |
| 4 | November 2021 | Nil | Nil | Nil | Nil |
| 5 | December 2021 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

| Sr. No. | Years | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|---------|----------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | Calender Year - 2017 | Nil | 1 | 1 | Nil |
| 2 | Calender Year - 2018 | Nil | 11 | 11 | Nil |
| 3 | Calender Year - 2019 | Nil | Nil | Nil | Nil |
| 4 | Calender Year - 2020 | Nil | Nil | Nil | Nil |
| 5 | Calender Year - 2021 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | 12 | 12 | Nil |

